

1 IDENTIFYING DRIVERS FOR MAIZE RESPONSE TO FERTILIZER IN GHANA

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8 ABSTRACT

9 CONTEXT: Maize is the main cereal crop produced in Ghana, but its yield is severely affected
10 by several biotic and abiotic factors. Increasing the overall productivity of maize is essential
11 to ensure food security and lift farmers out of poverty.

12 OBJECTIVE: Therefore, this study was done to quantify the effect of these factors on maize
13 yield response to fertilizer using 978 data points from on-farm and on-station trials so that
14 intervention practices can be identified.

15 METHODS: The Quantitative Evaluation of the Fertility of Tropical Soils (QUEFTS) model
16 and five regression algorithms to build the maize yield model – Ordinary Least Squares
17 Regression (OLSR), Multivariate Linear Regression (MLR), Stepwise Multiple Linear
18 Regression (SMLR), and Random Forest Regression (RFR) – were used to analyze the data.

19 RESULTS AND CONCLUSIONS: The results show that the QUEFTS model cannot
20 significantly explain yield variability at on-station and on-farm levels (adj. $R^2=3\%$ and adj.
21 $R^2=22\%$, respectively). However, the MLR, SMLR, and RFR models explained more than 60%
22 of the variability in maize yield. SMLR and RFR show that the type and rate of fertilizer
23 applied, temperature, variety, and root zone depth are significant factors in explaining maize
24 yield variability. They reveal that soil physical properties explain more of the yield variability
25 (adj. $R^2=32\%$) on-station than environmental parameters (adj. $R^2=1\%$), with soil chemical
26 properties explaining the highest percentage (adj. $R^2=36\%$). On-farm, environmental
27 covariates (adj. $R^2=33\%$) explain more of the variability in yield response than physical
28 (adj. $R^2=25\%$) and chemical (adj. $R^2=19\%$) soil variables. Detailed analytics pinpointed that
29 high temperature and high precipitation, combined with shallow rooting depth (<50 cm), were
30 key factors reducing the efficiency and effectiveness of on-farm fertilizer application.

31 Calculation of nutrient use efficiency shows an average partial factor productivity of 29-80 kg
32 grain and an average agronomic efficiency of 13-46 kg grain per kg of applied N.

33 SIGNIFICANCE: While fertilizer recommendations are generally based on soil chemical
34 properties, the results of this study indicate that methods to arrive at such recommendations
35 should take soil physical properties and climatic variables into consideration as well.

36 Keywords: Variability, QUEFTS, Regression, Random Forest, Nutrient use efficiency.

37

38 1. INTRODUCTION

39 Global demand for food will continue to increase for at least 50 years ([Cicin-Sain, 2018](#); [EU,](#)
40 [2019](#); [Tilman et al., 2011](#)), and climate change is not helping matters. Agricultural production
41 in sub-Saharan Africa must at least triple to meet this growing food demand ([Godfray et al.,](#)
42 [2010](#); [Rahman et al., 2021](#)). Moreover, the agri-food system plays a central role in the sub-
43 Saharan African countries' economies ([FAO/OECD, 2018](#)) and is at the core of at least 12 of
44 the 17 United Nations 2030 Sustainable Development Goals (SDGs). In Ghana, it has been the
45 fastest-growing sector of the economy for several decades ([Diao et al., 2019](#)). Thus, agricultural
46 growth is the main driver of poverty reduction and the largest source of employment for rural
47 communities, mainly smallholder farmers with 2 hectares of land or less ([USAID, 2022](#)).
48 However, farmers face changing and increasingly unpredictable weather conditions,
49 drastically reducing soil fertility, and typically use local or inbred crop varieties. [Bationo et](#)
50 [al., \(2018\)](#) reported that soil nutrient depletion rates of about 35 kg N, 4 kg P, and 20 kg K per
51 hectare are worrisome and prevalent in all agroecological zones (AEZs) in Ghana, with
52 nitrogen and phosphorus being the most deficient nutrients ([Zingore et al., 2015](#)). As a result,
53 yields obtained by smallholder farmers are far below the potentially attainable yields,
54 hampering agricultural production and jeopardizing economic development and food
55 security ([Adzawla et al., 2021](#)). One solution is to increase fertilizer application by farmers.
56 However, it is increasingly understood that crop response to fertilizer applied in many areas
57 of Africa, including Ghana, is depressed by a variety of soil degradation problems and many
58 other factors, such as crop variety, soil organic matter, and soil depth ([Guilpart et al., 2017](#);
59 [Kpotor et al., 2014](#); [Leenaars et al., 2018](#); [Sadras and Calvino, 2001](#); [Tetteh et al., 2016](#)).
60 Furthermore, high variability in climatic conditions (rainfall and temperature) causes
61 uncertainties in agricultural productivity, with profound impacts on the ecology, economy,
62 and the social welfare of rural farmers ([Kyei-Mensah et al., 2019](#); [Onduru and Du Preez, 2007](#)).
63 Despite current low crop productivity, Ghana could intensify production and significantly
64 close current yield gaps of major cereals ([Bationo et al., 2018](#); [van Loon et al., 2019](#)), since it
65 has been estimated that, on average, only about 20% of the potential maize yield is being
66 achieved across Ghana ([GYGA, 2021](#)). For example, addressing nutrient deficiencies by
67 applying fertilizer alone would help to reduce the yield gap to 50% of attainable yield ([Mueller](#)

68 [et al., 2012](#)). However, [Abunyewa and Mercer-Quarshie, \(2004\)](#) and [Bationo et al., \(2018\)](#)
69 reported that the maize grain yield rarely exceeds 1 t ha⁻¹ in farmers' fields even with
70 application of N, P, and K compound fertilizer, which demonstrates that many other factors
71 contribute to nutrient use efficiency ([Genuer and Poggi](#)). Subsequently, [Bua et al., \(2020\)](#) found
72 that grain yield response to fertilization is highly variable, ranging from a mere 500 kg ha⁻¹ up
73 to 8,000 kg ha⁻¹. This large variability depresses farmers' incentive and ability to purchase
74 fertilizers in subsequent seasons ([Njoroge, 2019](#); [Roobroeck et al., 2021](#)).

75 Capturing observed variabilities in maize yield can be done using model-based approaches.
76 Various studies around the world have shown that application of system models ([Wallach et
77 al., 2018](#)) can be useful in determining and prioritizing the relative importance of factors that
78 contribute to yield variability ([Jeong et al., 2016](#); [Lamos-Díaz et al., 2020](#); [Nevavuori et al.,
79 2020](#); [Paudel et al., 2021](#); [Timsina et al., 2021](#)). Models such as the QUantitative Evaluation of
80 the Fertility of Tropical Soils (QUEFTS) have been advocated by several studies for estimating
81 field-specific N, P, and K recommendations ([Ren et al., 2015](#); [Tabi et al., 2007](#); [Tittonell et al.,
82 2008](#); [Wijayanto and Prastyanto, 2012](#); [Xu et al., 2013](#)). QUEFTS is not commonly used as a
83 model to assess maize yield variability but could be used for this purpose, as it considers soil
84 chemical properties (pH, organic carbon, available and total phosphorus, exchangeable
85 potassium, and organic nitrogen) and fertilizer application ([Njoroge, 2019](#); [Onduru and Du
86 Preez, 2007](#)). The model assumes that all other production factors are optimal and does not
87 consider the variety of crop used, the physical properties of the soil, or climatic variables. To
88 estimate the effects of these factors not taken into account in the QUEFTS model, it is
89 important to complement it with multivariate analyses through mathematical models of linear
90 regression ([Atiah et al., 2021](#); [Kihara et al., 2016](#); [van Loon et al., 2019](#)). Thus, ordinary least
91 squares regression (OLSR), multivariate linear regression (MLR), stepwise multiple linear
92 regression (SMLR), and random forest regression (RFR) methods were applied along with
93 QUEFTS to quantify, explain, and predict maize yield variability in Ghana.

94 2. MATERIALS AND METHODS

95 2.1. Data sites and sources

96 2.1.1. Yield and fertilizer

97 Maize yields from 1,818 fertilizer-yield response data points of on-farm and on-station trials
98 conducted between 1990 and 2017 were collected from scientific papers and local institutional
99 reports. Of the 1,818 data points, 840 were removed from the raw database due to a lack of
100 information on geographic coordinates, dates of trials, and varieties used, as well as a lack of
101 metadata on the proportions of the chemical elements N, P, and K in the NPK fertilizers
102 applied at these sites. In addition, all data points in which the chemical elements N, P, and K
103 in the fertilizers were combined with micronutrients (boron, zinc, and magnesium) were
104 excluded from the study because one of the models used in this study (QUEFTS) cannot model
105 or explain their effects on maize yield. At the end of the data cleaning and preparation, 978
106 data points were available for use in this study. Treatments were heterogeneous and included
107 organic fertilizers alone, organic fertilizer in combination with inorganic fertilizers, and
108 inorganic fertilizers alone. The combination of N, P, and K in the treatments included a control
109 (no fertilizer), treatments with PK, NK, and NP, and treatments with NPK. Organic fertilizers
110 were converted into N, P₂O₅, and K₂O (Adjei-Nsiah, 2012; Badu et al., 2019; Fening et al., 2009;

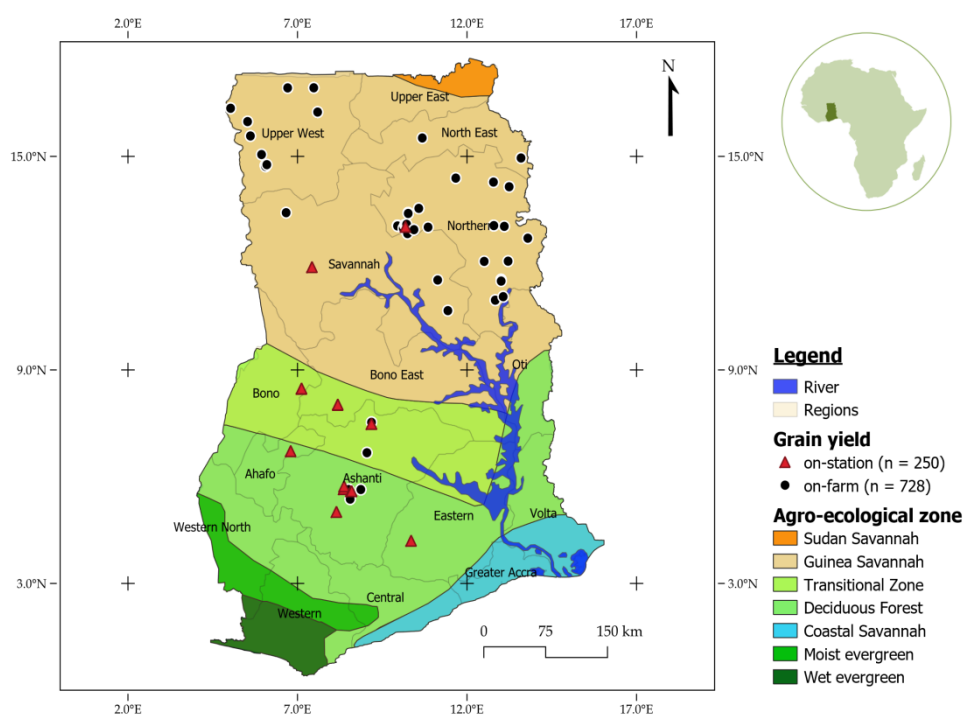


Figure 1: Map of Ghana showing AEZs and spatial distribution of on-farm and on-station trial data points

111 [Kanton et al., 2016](#)). The trials were conducted in three of Ghana's AEZs: Guinea Savanna,
 112 Transitional , and Semi-Deciduous Forest (Figure 1).

113 **2.1.2. Quantitative covariates used in the models**

114 Table 1 presents the covariates used in the models (QUEFTS, OLSR, MLR, SMLR and RFR).
 115 Soil chemical and physical properties data were obtained from the African SoilGrids ([ISRIC](#)),
 116 at a resolution of 250 m for the 0-30 cm topsoil. Rainfall and temperature datasets are from the
 117 WorldClim ([Fick and Hijmans, 2017](#)) database, at a spatial resolution of 1 km² and elevation
 118 data (altitude) with a resolution of 30 meters from Shuttle Radar Topography Mission (SRTM)
 119 Digital Terrain Elevation. The coordinates of the location of each trial were overlaid on the
 120 maps for extracting soil chemical and physical properties, climatic factors, and elevation.

121 Monthly rainfall and temperature data for the years that matched the trial years and growing
 122 seasons of the yield data points were used. Temperature and rainfall were aggregated based
 123 on suggested maize planting times in Ghana, considering agroclimatic conditions ([Adu et al.,](#)
 124 [2014](#)), assuming that maize was harvested four months after its planting date. The on-station
 125 trials took place on deeper soils (132 cm) than the on-farm trials (76 cm). Most of the on-farm
 126 trials were in the Northern Region and the on-station trials in the Middle Belt.

127 Table 1: Quantitative variables (soil property, climatic factor, and altitude) used as input data in the models and their source

| Covariate's name | Abbreviation |
|--|--------------|
| Digital elevation (m) ⁽¹⁾ | altitude |
| Precipitation (mm) ⁽²⁾ | rain |
| Maximum temperature (°C) ⁽²⁾ | tmax |
| Minimum temperature (°C) ⁽²⁾ | tmin |
| Africa SoilGrids - Root zone depth (cm) ⁽³⁾ | rzd |
| Africa SoilGrids - Root zone plant available water holding capacity aggregated (cm) ⁽³⁾ | rzwhc |
| Africa SoilGrids - Silt content (%) ⁽³⁾ | silt |
| Africa SoilGrids - Sand content (%) ⁽³⁾ | sand |
| Africa SoilGrids - Clay content (%) ⁽³⁾ | clay |
| Africa SoilGrids - Soil pH in H ₂ O ⁽³⁾ | pH |
| Africa SoilGrids nutrients - Extractable phosphorus (P) (mg kg ⁻¹) ⁽³⁾ | Olsen |
| Africa SoilGrids - Cation exchange capacity (CEC) (mmol ₍₊₎ kg ⁻¹) ⁽³⁾ | cec |
| Africa SoilGrids - Exchangeable potassium (K+) (mmol kg ⁻¹) ⁽³⁾ | Ex.K |
| Africa SoilGrids nutrients - Total phosphorus (P) (mg kg ⁻¹) ⁽³⁾ | Pt |
| Africa SoilGrids nutrients - Total nitrogen (N) (g kg ⁻¹) ⁽³⁾ | Ntot |
| Africa SoilGrids - Soil organic carbon (SOC) (g kg ⁻¹) ⁽³⁾ | Corg |

128 ⁽¹⁾ <https://srtm.csi.cgiar.org/srtmdata/> ⁽²⁾ ([Fick and Hijmans, 2017](#)).⁽³⁾ ([Hengl et al., 2015](#)) ([Hengl et al., 2017](#)) .

129

130

Table 2: Descriptive characteristics statistics of all the maize yield data point location variables data presented in Table 1

| | altitude (m) | rain (mm) | tmin (°C) | tmax (°C) | rzd (cm) | rzwhc (cm) | sand (%) | clay (%) | silt (%) | pH | Olsen (mg.kg ⁻¹) | cec (mmol ₍₊₎ .kg ⁻¹) | Ex.K (mmol.kg ⁻¹) | Pt (mg.kg ⁻¹) | Norg (g.kg ⁻¹) | Corg (g.kg ⁻¹) |
|----------------------------------|-----------------|--------------|--------------|--------------|-------------|---------------|-------------|-------------|-------------|------|---------------------------------|---|----------------------------------|------------------------------|-------------------------------|-------------------------------|
| on-farm (n ¹ =728) | | | | | | | | | | | | | | | | |
| Min ² | 115 | 498 | 19.0 | 27.0 | 40.0 | 9.00 | 56.6 | 6.11 | 6.50 | 5.40 | 2.70 | 2.40 | 1.88 | 112 | 0.26 | 3.00 |
| Max ³ | 390 | 810 | 24.0 | 33.0 | 150 | 13.0 | 74.8 | 22.5 | 39.3 | 6.30 | 9.92 | 17.5 | 3.64 | 468 | 1.15 | 16.0 |
| Mean | 207 | 640 | 22.8 | 31.0 | 76.4 | 10.3 | 65.0 | 14.0 | 25.3 | 6.06 | 3.95 | 6.32 | 2.82 | 215 | 0.55 | 5.94 |
| SD ⁴ | 63.6 | 51.7 | 0.88 | 1.18 | 28.1 | 1.00 | 5.58 | 4.96 | 6.65 | 0.18 | 1.61 | 3.06 | 0.33 | 82.5 | 0.24 | 2.89 |
| CV ⁵ (%) | 31% | 8% | 4% | 4% | 37% | 10% | 9% | 35% | 26% | 3% | 41% | 48% | 12% | 38% | 44% | 49% |
| on-station (n ¹ =250) | | | | | | | | | | | | | | | | |
| Min ² | 176 | 459 | 21.9 | 29.7 | 10.0 | 9.00 | 40.0 | 6.70 | 6.50 | 5.40 | 2.62 | 4.20 | 2.12 | 176 | 0.69 | 4.00 |
| Max ³ | 292 | 710 | 23.5 | 32.3 | 150 | 13.0 | 84.1 | 27.0 | 52.0 | 6.10 | 8.50 | 82.9 | 4.85 | 266 | 1.21 | 15.0 |
| Mean | 258 | 619 | 22.3 | 30.7 | 132 | 10.6 | 67.3 | 15.7 | 17.0 | 6.01 | 4.40 | 17.4 | 2.84 | 216 | 0.87 | 8.02 |
| SD ⁴ | 18.1 | 78.1 | 0.40 | 0.47 | 29.3 | 0.86 | 6.31 | 3.96 | 6.63 | 0.15 | 0.70 | 24.4 | 0.54 | 24.6 | 0.17 | 4.27 |
| CV ⁵ (%) | 7% | 13% | 2% | 2% | 22% | 8% | 9% | 25% | 39% | 2% | 16% | 140% | 19% | 11% | 20% | 53% |

131

n¹: number of trials. Min²: minimum. Max³: maximum. SD⁴: standard deviation. CV⁵: coefficient of variation.

132

133 **2.1.3. Maize variety used in linear regression**

134 Eighteen maize varieties, consisting of one local variety, seven improved open-pollinated
 135 varieties, four inbred lines, one improved normal maize, one quality protein maize, and four
 136 commercial hybrid varieties, were used.

137 Table 3: Varieties used in the study and their characteristics

| | Variety | On-station | On-farm | Characteristic |
|----------|----------------|------------|---------|--|
| Genotype | Abontem | no | yes | YOPV ¹ /QPM ² , STR ³ , Earliness |
| | Aburohema | no | yes | YOPV ¹ / QPM ² |
| | Akposoe | no | yes | YOPV ¹ / QPM ² , DT ⁴ , Earliness |
| | Dorke SR | yes | no | OPNM ⁵ |
| | Entry 5 | no | yes | Inbred line, DT ⁴ |
| | Entry 6 | no | yes | Inbred line, DT ⁴ |
| | Entry 70 | no | yes | Inbred line, DT ⁴ |
| | Entry 85 | no | yes | Inbred line, DT ⁴ |
| | Etubi | no | yes | WQPHM ⁶ , DT ⁴ |
| | GH 110 | no | yes | SCH ⁷ , DT ⁴ |
| | Golden Jubilee | no | yes | YOPV ¹ / QPM ² |
| | Local variety | no | yes | OPNM ⁵ |
| | Mamaba | yes | yes | WQPHM ⁶ , DT ⁴ |
| | Obatanpa | yes | yes | WOPV ⁸ /QPM ² |
| | Omankwa | no | yes | WOPV ⁸ / QPM ² , DT ⁴ |
| | Pannar53 | no | yes | Hybrid |
| | QPM | no | yes | QPM ² |
| | Wang Daata | no | yes | YOPV ¹ / QPM ² |

138 (1) YOPV: Yellow Open-Pollinated Variety. (2) QPM: Quality Protein Maize. (3) STR: Striga hermontica Resistance. (4) DT: Drought
 139 Tolerant. (5) OPNM: Open-Pollinated Normal Maize. (6) WQPHM: White Quality Protein Hybrid Maize. (7) SCH: Single Cross
 140 Hybrid. Yes: the variety was used. No: the variety was not used.

141 These names of the varieties were taken from the papers from which the 978 data points on
 142 yield response to fertilizer in on-farm and on-station trials were obtained. The characteristics
 143 of these varieties are summarized in Table 3 ([Adu et al., 2014](#); [Kpotor et al., 2014](#); [Sallah et al.,](#)
 144 [2004](#); [USAID/IFDC, 2015](#)).

145 **2.2. Data analysis pipeline**

146 First, a descriptive analysis was conducted to characterize the observed yields from on-farm
 147 and on-station trials. Second, predictive yield modeling using the QUEFTS model was
 148 performed to assess how soil chemical properties and fertilizers explained the observed yield
 149 variability. Third, OLSR, MLR, SMLR based upon Akaike’s Information Criterion algorithm,
 150 and RFR as predictive models were performed to quantify the individual and combined
 151 effects of the other independent variables presented in Table 1, including the fertilizers and

152 maize varieties used. Finally, agronomic efficiency (AE) and partial factor productivity (PFP)
153 were calculated to characterize nutrient use efficiencies in the three agroecological zones.

154 **2.3. Models**

155 **2.3.1. QUEFTS**

156 The QUEFTS model was implemented using the R package “Rquefts” ([Hijmans et al., 2021](#))
157 to calculate maize yield, with the maximum yield set to 10,000 kg ha⁻¹. To run QUEFTS, the
158 model parameters must follow the prescription of ([Janssen et al., 1990](#); [Sattaria et al., 2014](#)).
159 For this, the soil-available P in P-Mehlich3 and the exchangeable K in K-NH4Ac extracted
160 from the ISRIC maps were transformed into POlsen and KMehlich3 extractable according to
161 the transfer functions from [Sawyer and Mallarino, \(1999\)](#).

162 **2.3.2. Regression**

163 To quantify the effect of maize variety (Table 3) on yield, knowing that the explanatory
164 variable “variety” is a categorical variable at 18 levels (genotype), an MLR with categorical
165 predictors of more than two classes was performed. As the regression required numerical
166 inputs, the categorical variables were recoded into a set of binary variables using “Dummy
167 encoding” ([Hannay, 2019](#); [Kassambara, 2018](#)). Thus, the effect of variety on maize yield was
168 measured in two ways. First, the overall effect of the variety on yield was quantified, and then,
169 the effect of each variety on maize yield was measured. The R programming language
170 “drop1” function was used to highlight the overall effect of the categorical variable variety on
171 yield, after simulating the multivariate linear regression model between varieties and yield
172 that detailed the effects of each variety (n-1) on maize yield. The default option of the R
173 package used the first level (genotype) of the variety factor as a reference in the model to
174 interpret the other genotypes concerning this level (genotype) ([Kassambara, 2018](#)). In this
175 study, the “relevel()” function of the R programming language was used to define the
176 Obatanpa variety as the reference genotype, so it does not appear in the summary tables of
177 the linear regression with categorical variables (variety) where the effects of the genotypes are
178 highlighted.

179 RFR models of maize yield in response to fertilizers, soil physical and chemical properties,
180 maize varieties, and environmental factors were built, trained, and tested using the R

181 packages “caret” ([Kuhn et al., 2021](#)), “ranger” ([Wright and Ziegler, 2017](#)) for variable
182 importance ranking, and “AICcmodavg” ([Mazerolle, 2020](#)) for best model selections in the
183 SMLR models. To train the RFR model, 70% of the data were used; the remaining 30% of the
184 data were used for final RFR model testing. The partitioning of the data into training and test
185 data was done randomly using the R “caret” package. Empirically, the estimated models were
186 given by:

$$y(s) = f [\text{rain, tmax,tmin, genotype,altitude, Corg, Norg, ...,Clay}](s) + \varepsilon(s)$$

187 Where y represents maize yield at location s at on-farm or on-station trials that is modeled in
188 two components: a trend function f and an error model ε . In the OLSR, MLR, SMLR, RFR, and
189 QUEFTS models analyses, where fertilizer was considered as a covariate, all zero values of
190 fertilizer application rate were replaced with the unit 1 to prevent an error in the models.

191 **2.4. Nutrient use efficiency**

192 AE and PFP were calculated for each data point from on-station and on-farm and the average
193 was used in the function of AEZ. AE and PFP of each data point were computed following
194 [Dobermann, \(2007\)](#) and [Drechsel et al., \(2015\)](#):

$$195 \quad AE_x = \frac{\sum_{i=1}^n \left(\frac{y_t - y_0}{F} \right)}{n}, \quad PFP_x = \frac{\sum_{i=1}^n \left(\frac{y_t}{F} \right)}{n}$$

196 where x is the AEZ, n is the number of observed trials for which we have values for N, P, K,
197 and NPK, y_t is the yield (kg ha⁻¹) for a fertilized data point, y_0 is the yield (kg ha⁻¹) for the
198 control data point, and F is the amount (kg ha⁻¹) of fertilizer (N, P, K, or NPK) applied.

199 **2.5. Model validation**

200 The performance of models was assessed using the coefficient of determination (R^2) and the
201 adjusted coefficient of determination (adj. R^2) ([Krause et al., 2005](#)). These were used to evaluate
202 deviations between model-simulated and observed yields and to quantify the explained
203 portion of the yield response variability. Data and models were simulated, analyzed, and
204 visualized using R ([R Core Team, 2022](#)) packages in RStudio© software ([RStudio Team, 2022](#)).

205 **3. RESULTS**

206 **3.1. Observed grain yield values**

207 On-farm yields ranged from 11 to 5,030 kg ha⁻¹ in the control plots, while yields in fertilized
208 plots ranged from 141 to 8,230 kg ha⁻¹ (Figure 2A). For the on-station trials, yields ranged from
209 300 to 5,030 kg.ha⁻¹ in the unfertilized plots and from 400 to 6,030 kg ha⁻¹ in the fertilized plots
210 (Figure 2B). The average yield of all on-station trials was higher than the average yield of all
211 on-farm trials at 2,600 kg ha⁻¹ and 2,001 kg ha⁻¹, respectively. The analysis of variance
212 (ANOVA) statistics showed that there was a significant difference (p<0.05) in yield among on-
213 station and on-farm trials. The average yield of all control treatments was 1,564 kg ha⁻¹ for on-
214 station trials and 1,194 kg ha⁻¹ for on-farm trials. The overall average yield of the fertilized
215 plots was 2,710 kg ha⁻¹ in the on-station trials and 2,500 kg ha⁻¹ in the on-farm trials. The
216 ANOVA test showed a small difference (p<0.1) between the yields of the on-station and the
217 on-farm trials. On-farm trials conducted in 2001 with the Obatanpa maize variety gave the
218 highest yields in this study, between 6,700 and 8,230 kg ha⁻¹ (Figure 2A, data point circled in

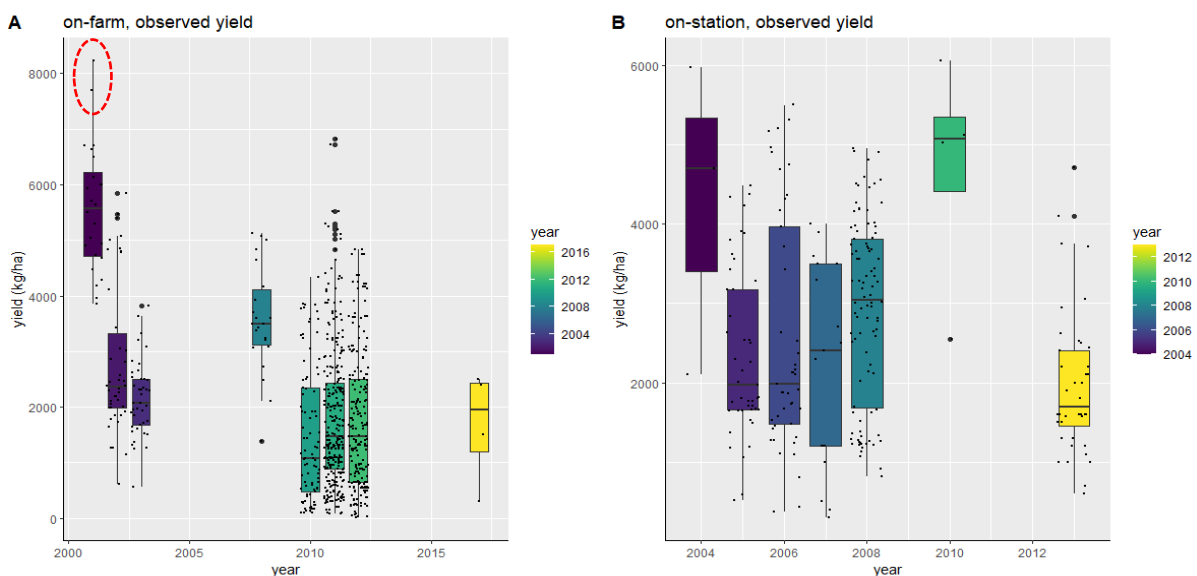


Figure 2: Interactive heatmap and box plot showing the evolution and dispersion of maize grain yields. The red dotted oval surrounds the highest on-farm yields of 2001.

219 red).

220 **3.2. QUEFTS model-estimated maize yield**

221 The average observed yield (AOY) and average predicted yield (APY) by the QUEFTS model
222 were 2,001 kg ha⁻¹ and 2,420 kg ha⁻¹, respectively, in the on-farm trials and 2,600 kg ha⁻¹ and

223 3,309 kg ha⁻¹, respectively, in on-station trials. For both on-farm and on-station trials, the APY
 224 was higher than the AOY.

225 Figure 3 shows the relationship between yield predicted by QUEFTS (Y_q) and observed yield
 226 (Y_o) on-station and on-farm across three scenarios: Figure 3A and 3D display the linear
 227 regression between Y_q and Y_o when no fertilizer was applied, Figure 3B and 3E present the
 228 linear regression between Y_q and Y_o when fertilizer was applied, and Figure 3C and 3F show
 229 the linear regression between Y_q and Y_o when no distinction was made among trials. Indeed,
 230 there were weak positive correlations between Y_o and Y_q in on-farm ($r=0.47$, $p<0.05$) and on-
 231 station ($r=0.17$, $p<0.05$) trials when no distinction was made between the fertilization status of
 232 the trials (Figure 3C and 3F), with an adj. R² of 22% and 3%, respectively. At the on-farm level,
 233 the linear regression in Figure 3D showed a weakly positive and significant correlation
 234 between Y_o and Y_q ($r=0.33$, $p<0.05$), with an adj. R² of 11%; however on-station, the linear
 235 regression showed a weakly nonsignificant negative correlation between Y_o and Y_q ($r=-0.23$,
 236 $p=0.27$), with a very low adj. R² (Figure 3A). When only the fertilized trials were considered,

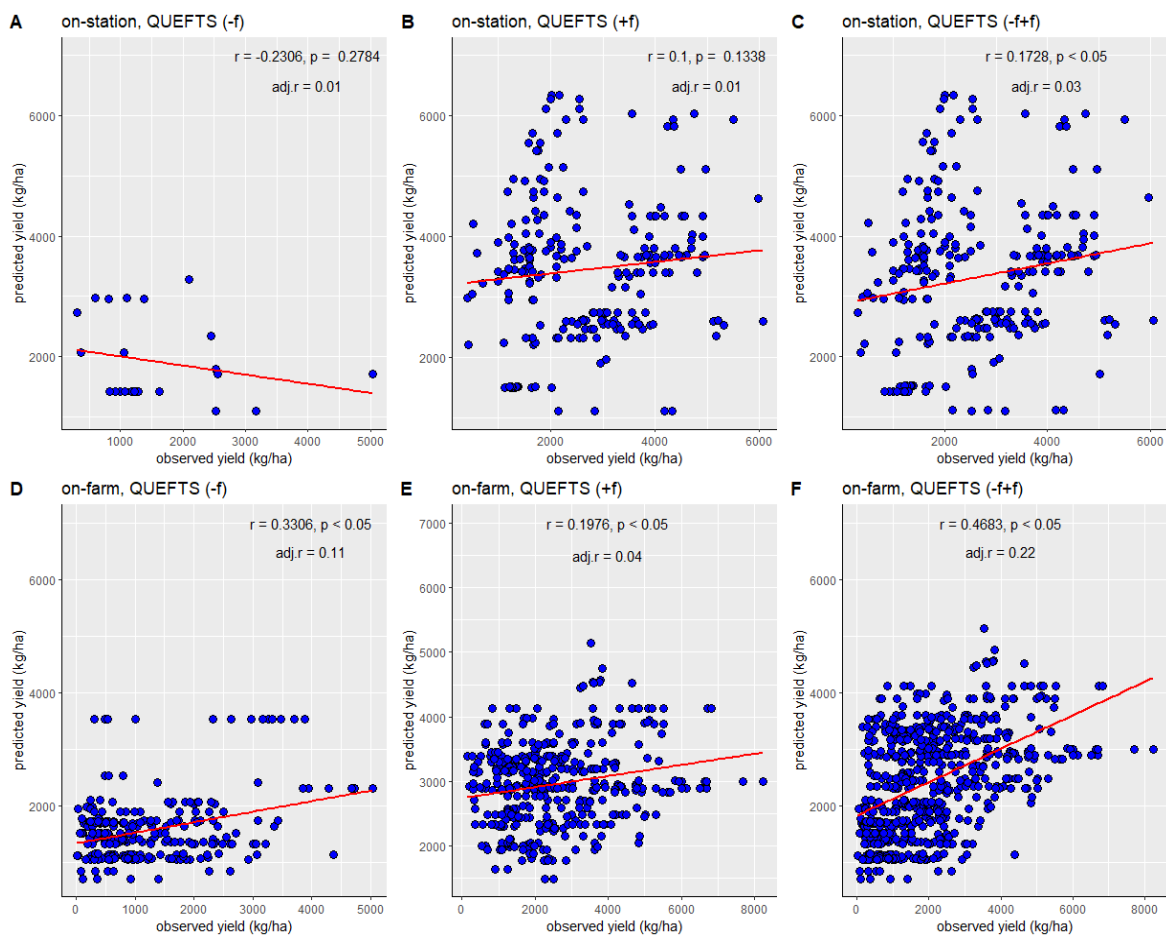


Figure 3: Relationship between observed yield and QUEFTS model-predicted maize grain yield. The red solid line represents the linear regression line and the adj. r is adjusted R². QUEFTS (-f): QUEFTS model-simulated yield without considering fertilizer among the explanatory variables; QUEFTS (+f): QUEFTS model-simulated yield with fertilizer among the explanatory variables; and QUEFTS (-f+f): QUEFTS model-simulated considering all treatments.

237 the linear regression between Y_q and Y_o was weakly positive and significant on-farm, with
 238 an adj. R^2 of 4% ($r=0.19$, $p<0.05$), and weakly positive and not significant on-station, with adj.
 239 R^2 of 1% ($r=-0.1$, $p=0.13$).

240 Table 4 shows that linear regressions between Y_q and Y_o considering the years in which the
 241 trials were conducted often explained more than 30% of the variability in yield response.

242 Table 4: Summary of fitted adjusted R^2 values from linear regressions between observed yield and QUEFTS-predicted yield
 243 based on-station and on-farm trial years

| Year | Number of observations | adj. R^2 | p-value | Source |
|------|------------------------|------------|---------|------------|
| 2001 | 24 | 50% | *** | on-farm |
| 2002 | 42 | 33% | *** | on-farm |
| 2003 | 42 | 4% | | on-farm |
| 2004 | 3 | 92% | | on-station |
| 2005 | 45 | -2% | | on-station |
| 2006 | 45 | -2% | | on-station |
| 2007 | 17 | 34% | ** | on-station |
| 2008 | 96 | 81% | *** | on-station |
| 2008 | 22 | 28% | ** | on-farm |
| 2010 | 89 | 39% | *** | on-farm |
| 2011 | 292 | 17% | *** | on-farm |
| 2012 | 213 | 32% | *** | on-farm |
| 2013 | 40 | -1% | | on-station |
| 2017 | 4 | 98% | ** | on-farm |

244 *** and ** indicate significance at 0.1% and 1%, respectively; a blank cell indicates no significance.

245 In on-farm trials, the QUEFTS model explained 50%, 33%, 28%, 39%, 17%, and 32% of maize
 246 yield variability in 2001, 2002, 2008, 2010, 2011, and 2012, respectively. In on-station trials, 34%
 247 and 81% of yield variability in 2007 and 2008, respectively, were explained by the QUEFTS
 248 model. In the remaining years, the relationship between Y_q and Y_o were not statistically
 249 significant.

250 3.3. OLSR, MLR and SMLR models-estimated maize yield variability

251 The overall effect of the variety on maize yield was 1%, which was not significant ($p>0.05$).
 252 Table 5 shows the individual effect of each variety used in the on-station trials. The use of
 253 Mamaba and Dorke SR was significantly ($p<0.05$ and $p<0.1$, respectively) associated with an
 254 average drop in yield of 13 kg ha^{-1} and $321.30 \text{ kg ha}^{-1}$ compared to Obatanpa, understanding
 255 that the y-intercept gives an average yield for Obatanpa of $2,713 \text{ kg ha}^{-1}$.

256

257 Table 5: Summary of the characteristics of the multiple linear regression model between the nominal categorical variable
 258 variety and the yield at on-station trials

| | Number of observations | Estimate | Std.Error | t.value | Pr(> t) | |
|-------------|------------------------|----------|-----------|---------|----------|-----|
| (Intercept) | | 2713.16 | 102.67 | 26.425 | < 2e-16 | *** |
| Mamaba | 8 | -13.16 | 462.03 | -0.028 | 0.9773 | * |
| Dorke SR | 88 | -321.30 | 170.27 | -1.887 | 0.0603 | . |

259 ***, *, and . indicate significance at 0.1%, 5%, and 10%, respectively.

260 The elevation and the climatic factors each explained 5% and 1%, respectively, of the yield
 261 response, while fertilizer explained 15% of the yield variability (Table 6). When comparing
 262 groups of explanatory variables, the soil physical properties and the soil chemical properties
 263 each explained up to 32% and 36%, respectively, of the variability in yield. The combined
 264 effect of maize variety and climatic variables through model 7 is weak (adj. R²=3%).

265 Adding the altitude variable to model 7 increased the adj. R² from 3% to 14%. The combined
 266 effect of variety, climatic factors, and altitude explained the variability in yield response at the
 267 same level as fertilizer application. The combined effect of variety, altitude, and soil chemical
 268 properties in model 9 and with the addition of soil physical properties in model 10 explained
 269 45% of the yield response in the two models, while fertilizers combined with variety and
 270 altitude, in model 11 explained 24%.

271 The combined effect of soil chemical properties and climatic variables and the combined effect
 272 of soil physical properties and climatic factors explained 44% and 43%, respectively, of the
 273 variability in maize yield. However, the same combination of climatic variables and applied
 274 fertilizer in model 14 explained only 16% of the variability in maize yield. The combination of
 275 physical and chemical soil properties explained 45% of the yield response. The combination
 276 of fertilizer and soil physical properties in model 16 and fertilizer and soil chemical properties
 277 in model 17 explained 50% and 57% of the yield variability, respectively. Model 17, run with
 278 the same soil chemical properties and fertilizers used in the QUEFTS model, explained
 279 significantly more yield response variability at 57% vs. 3% with QUEFTS (Figure 3C and 3F).

280 The combination of all covariates in model 18 greatly explained the variability observed in
 281 yield response at 68%. Model 18 indicates that considering all covariates adds to the
 282 explanation for the variability in maize yield response to fertilizer. However, “not applicable”
 283 (NA) results were obtained for the coefficients of the sand, clay, and silt covariates. Thus, by

284 using SMLR, including all explanatory variables, better models were obtained. Multiple linear
285 regressions resulting from the choices of the AIC algorithm, one simulated with all
286 explanatory variables plus fertilizer (AIC+f) and the other simulated with all covariates
287 without fertilizer (AIC-f), showed that the model derived from AIC+f explained 68% of the
288 observed variability, compared to 44% for the model derived from AIC-f. The model derived
289 from AIC+f could provide a more reliable means of predicting yield, thus explaining the
290 highest proportion of the variability in yield response in on-station trials.

291 Table 6: Statistics of the inferential characteristics of the explanatory variables in Table 1, variety, and fertilizer on the response of maize yield through simple and multiple linear regression models
 292 for on-station trials

| Model nb ^a | Variety | Altitude | Climatic variable | | | Physical soil factors | | | | | Chemical soil factors | | | | | | Fertilizer | | | Yield | Linear-Fit | | | |
|-----------------------|---------|----------|-------------------|------|------|-----------------------|-------|------|------|------|-----------------------|--------|-----|------|----|------|------------|----|----|-------|------------|----------------|--------------------|-----|
| | | | Rain | tmin | tmax | rzd | rzwhc | sand | clay | Silt | pH | POlsen | CEC | Ex.K | Pt | Norg | Corg | FN | FP | | FK | R ² | Adj.R ₂ | p |
| 1 | X | | | | | | | | | | | | | | | | | | | + ε = | 1% | 1% | | |
| 2 | | X | | | | | | | | | | | | | | | | | | | + ε = | 5% | 5% | *** |
| 3 | | | X | X | X | | | | | | | | | | | | | | | | + ε = | 2% | 1% | |
| 4 | | | | | | X | X | X | X | X | | | | | | | | | | | + ε = | 33% | 32% | *** |
| 5 | | | | | | | | | | | X | X | X | X | X | X | | | | | + ε = | 38% | 36% | *** |
| 6 | | | | | | | | | | | | | | | | | X | X | X | | + ε = | 16% | 15% | *** |
| 7 | X | | X | X | X | | | | | | | | | | | | | | | | + ε = | 5% | 3% | |
| 8 | X | X | X | X | X | | | | | | | | | | | | | | | | + ε = | 16% | 14% | *** |
| 9 | X | X | | | | X | X | X | X | X | | | | | | | | | | | + ε = | 46% | 45% | *** |
| 10 | X | X | | | | | | | | | X | X | X | X | X | X | | | | | + ε = | 48% | 45% | *** |
| 11 | X | X | | | | | | | | | | | | | | | X | X | X | | + ε = | 26% | 24% | *** |
| 12 | | | X | X | X | X | X | X | X | X | | | | | | | | | | | + ε = | 45% | 43% | *** |
| 13 | | | X | X | X | | | | | | X | X | X | X | X | X | | | | | + ε = | 47% | 44% | *** |
| 14 | | | X | X | X | | | | | | | | | | | | X | X | X | | + ε = | 18% | 16% | *** |
| 15 | | | | | | X | X | X | X | X | X | X | X | X | X | X | | | | | + ε = | 47% | 45% | *** |
| 16 | | | | | | X | X | X | X | X | | | | | | | X | X | X | | + ε = | 52% | 50% | *** |
| 17 ^b | | | | | | | | | | | X | X | X | X | X | X | X | X | X | | + ε = | 58% | 57% | *** |
| 18 | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | + ε = | 70% | 68% | *** |
| SMLR - f ^c | | | | | X | | X | | | | X | X | X | | X | X | | | | | + ε = | 46% | 44% | *** |
| SMLR + f ^d | X | X | X | X | X | X | X | | | | X | X | X | X | X | X | X | X | | | + ε = | 70% | 68% | *** |

293 FN: nitrogen fertilizer. FP: phosphorus fertilizer; FK: potassium fertilizer. *** indicates significance at 1%; a blank cell indicates no significance. nb^a: model number. 17^b: model number 17 uses only
294 covariates used in the QUEFTS model. SMLR-f^c: stepwise multilinear regression model without considering fertilizers among the covariates. SMLR+f^d: stepwise multilinear regression model
295 considering fertilizers among the covariates. e^e: model residual error.

296 The variety variable explained 16% of the variability in yield overall. This covariate explained
 297 more of the yield variability in the on-farm trials than in the on-station trials (Table 6 and Table
 298 8). The overall effect of variety is statistically significant ($p > 0.05$). Table 7 shows the amount
 299 of yield variability explained by the individual effect of each variety used in the on-farm trials.

300 Table 7: Summary of the characteristics of the multiple linear regression model between the nominal categorical variables
 301 variety and the yield at on-farm trials

| | Number of observations | Estimate | Std.Error | t.value | Pr(> t) | |
|----------------|------------------------|----------|-----------|---------|----------|-----|
| (Intercept) | | 2370.2 | 84.77 | 27.961 | < 2e-16 | *** |
| Mamaba | 64 | 420.92 | 185.66 | 2.267 | 0.023679 | * |
| GH 110 | 6 | 1718.13 | 546.08 | 3.146 | 0.001723 | ** |
| Pannar53 | 123 | -663.41 | 146.23 | -4.537 | 6.70E-06 | *** |
| Abontem | 6 | 1494.8 | 546.08 | 2.737 | 0.00635 | ** |
| Aburohema | 70 | -751.89 | 179.25 | -4.195 | 3.08E-05 | *** |
| Akposoe | 6 | 951.46 | 546.08 | 1.742 | 0.081883 | . |
| Entry 5 | 6 | -1646.87 | 546.08 | -3.016 | 2.66E-03 | ** |
| Entry 6 | 6 | -1853.54 | 546.08 | -3.394 | 0.000726 | *** |
| Entry 70 | 6 | -1170.2 | 597 | -1.96 | 5.04E-02 | . |
| Entry 85 | 6 | -1483.54 | 546.08 | -2.717 | 0.006755 | ** |
| Etubi | 34 | -372.12 | 241.96 | -1.538 | 0.124498 | |
| Golden Jubilee | 6 | 1378.13 | 546.08 | 2.524 | 0.011831 | * |
| Local variety | 6 | 259.8 | 546.08 | 0.476 | 0.634404 | |
| Omankwa | 64 | -891.94 | 185.66 | -4.804 | 1.90E-06 | *** |
| QPM | 72 | -1209.2 | 177.31 | -6.82 | 1.95E-11 | *** |
| Wang Daata | 4 | -695.2 | 666.12 | -1.044 | 0.296999 | |

302 ***, **, *, and . indicate significance at 0.1%, 1%, 5%, and 10%, respectively; a blank cell indicates no significance.

303 The use of Mamaba, GH 110, Abontem, and Golden Jubilee were significantly ($p < 0.05$)
 304 associated with an average yield increase of 420.92 kg ha⁻¹, 1,718.13 kg ha⁻¹, 1,494.8 kg ha⁻¹, and
 305 1,378.13 kg ha⁻¹ compared to Obatanpa, understanding that the y-intercept corresponds to an
 306 average yield of 2,370.2 kg ha⁻¹ for Obatanpa. However, the use of Pannar53, Aburohema,
 307 Entry 5, Entry 6, Entry 85, Omankwa, and QPM were significantly ($p < 0.05$) associated with an
 308 average yield decrease of 663.41 kg ha⁻¹, 751.89 kg ha⁻¹, 1,646.87 kg ha⁻¹, 1,853.54 kg ha⁻¹,
 309 1,483.54 kg ha⁻¹, 891.94 kg ha⁻¹, and 1,209.2 kg ha⁻¹ compared to Obatanpa. The variety
 310 Akposoe is weakly significantly ($p < 0.1$) associated with a mean yield increase of 951.46 kg ha⁻¹
 311 over Obatanpa, while the local variety and Etubi were not significantly associated with a
 312 change in the average yield. Entry 70 was weakly significantly ($p < 0.1$) associated with a mean
 313 yield decrease of 1,170.2 kg ha⁻¹ compared to Obatanpa. In the on-farm trials, the overall effect
 314 of the variety variable helped to further explain yield variability.

315 Indeed, when the variety variable is combined with altitude, climatic factors, physical and
316 chemical factors of the soil, and even fertilizers, through models 7, 8, 9, 10, and 11, there was
317 a significant increase in the adj. R^2 (Table 8). Physical soil factors and chemical soil factors
318 explained less yield variability in on-farm trials than in on-station trials (Table 8). But fertilizer
319 explained more of the variability in on-farm trials, with a 28% adj. R^2 , compared to on-station.
320 The combined effect of the climatic variable with physical soil factors in model 12, chemical
321 soil factors in model 13, and fertilizer in model 14 explained 37%, 33%, and 47% of yield
322 variability, respectively, in on-farm trials. The combination of physical soil factors and
323 chemical soil factors in model 15 explained 30% of yield variability, and the combined effect
324 of fertilizer with physical soil factors in model 16 and with chemical soil factors in model 17
325 explained 42% and 34 of yield variability, respectively. The difference in explanation of maize
326 yield variability between model 17 and the QUEFTS model, considering only soil chemical
327 properties and fertilizer, was large at 37% and 22% adj. R^2 , respectively.

328 The implementation of the AIC algorithm through SMLR, in which all the covariates were
329 used with fertilizers (AIC+f) and without fertilizers (AIC-f), produced the final best models
330 that explained 62% and 46%, respectively, of the yield variability in on-farm trials.

331

332 Table 8: Statistics of the inferential characteristics of the explanatory variables in Table 1, variety, and fertilizer on the response of maize yield through simple and multiple linear regression models
 333 for on-farm trials

| Model nb ^a | Variety | Altitude | Climatic variable | | | Physical soil factors | | | | | Chemical soil factors | | | | | | Fertilizer | | | Yield | Linear-Fit | | | |
|-----------------------|---------|----------|-------------------|------|------|-----------------------|-------|------|------|------|-----------------------|--------|-----|------|----|------|------------|----|----|-------|------------|----------------|--------------------|-----|
| | | | Rain | tmin | tmax | rzd | rzwhc | sand | clay | Silt | pH | POlsen | CEC | Ex.K | Pt | Norg | Corg | FN | FP | | FK | R ² | Adj.R ₂ | p |
| 1 | X | | | | | | | | | | | | | | | | | | | + ε = | 18% | 16% | *** | |
| 2 | | X | | | | | | | | | | | | | | | | | | | + ε = | 12% | 12% | *** |
| 3 | | | X | X | X | | | | | | | | | | | | | | | | + ε = | 33% | 33% | *** |
| 4 | | | | | | X | X | X | X | X | | | | | | | | | | | + ε = | 25% | 25% | *** |
| 5 | | | | | | | | | | | X | X | X | X | X | X | | | | | + ε = | 19% | 19% | *** |
| 6 | | | | | | | | | | | | | | | | | X | X | X | | + ε = | 28% | 28% | *** |
| 7 | X | | X | X | X | | | | | | | | | | | | | | | | + ε = | 44% | 42% | *** |
| 8 | X | X | X | X | X | | | | | | | | | | | | | | | | + ε = | 44% | 42% | *** |
| 9 | X | X | | | | X | X | X | X | X | | | | | | | | | | | + ε = | 38% | 36% | *** |
| 10 | X | X | | | | | | | | | X | X | X | X | X | X | | | | | + ε = | 34% | 32% | *** |
| 11 | X | X | | | | | | | | | | | | | | | X | X | X | | + ε = | 45% | 43% | *** |
| 12 | | | X | X | X | X | X | X | X | X | | | | | | | | | | | + ε = | 38% | 37% | *** |
| 13 | | | X | X | X | | | | | | X | X | X | X | X | X | | | | | + ε = | 34% | 33% | *** |
| 14 | | | X | X | X | | | | | | | | | | | | X | X | X | | + ε = | 47% | 47% | *** |
| 15 | | | | | | X | X | X | X | X | X | X | X | X | X | X | | | | | + ε = | 31% | 30% | *** |
| 16 | | | | | | X | X | X | X | X | | | | | | | X | X | X | | + ε = | 43% | 42% | *** |
| 17 ^b | | | | | | | | | | | X | X | X | X | X | X | X | X | X | | + ε = | 38% | 37% | *** |
| 18 | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | + ε = | 64% | 62% | *** |
| SMLR - f ^c | X | | X | | X | | X | X | | X | | X | | X | | X | | | | | + ε = | 48% | 46% | *** |
| SMLR + f ^d | X | | | X | X | | X | X | | X | | X | | X | | X | | X | X | | + ε = | 63% | 62% | *** |

334 FN: nitrogen fertilizer. FP: phosphorus fertilizer; FK: potassium fertilizer. *** indicates significance at 1%; a blank cell indicates no significance. nb^a: model number. 17^b: model number 17 uses only
335 covariates used in the QUEFTS model. SMLR-f^c: stepwise multilinear regression model without considering fertilizers among the covariates. SMLR+f^d: stepwise multilinear regression model
336 considering fertilizers among the covariates. e^e: model residual error.

337 **3.4. RFR model-estimated maize yield variability**

338 The RFR model was trained on 70% of the volume of total on-farm and on-station trials data.
 339 This allowed classification of the individual contribution of each variable in explaining the
 340 variability of maize yield. Figure 4 shows that maximum temperature, silt, organic nitrogen,
 341 and the type of fertilizer used played the most important role in explaining maize yield in on-
 342 farm trials. However, when fertilizer was added to the model variables, the most important
 343 factor in explaining yield variability was found to be nitrogen fertilizer, followed by
 344 maximum temperature, genotype, rainfall, and silt. For on-station trials, Figure 4 shows that
 345 POlsen was the most important factor explaining yield variability, far ahead of organic carbon,
 346 rain, and cation exchange capacity (CEC). However, as in on-farm trials, when fertilizer was
 347 added to the model, the most important factor in explaining yield variability was found to be

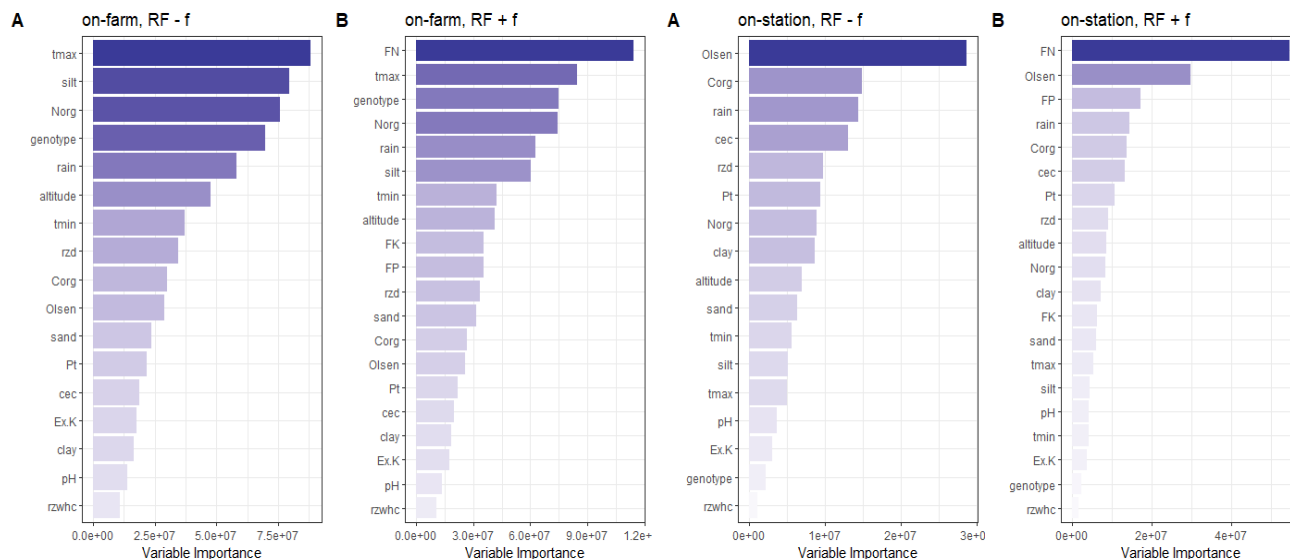


Figure 4: Ranking of the importance of factors explaining maize yield variability. RF-f: Random Forest model simulated without considering fertilizer among the explanatory variables. RF+f: Random Forest model simulated with fertilizer among the explanatory variables. Variable importance is computed using the mean decrease in Gini index and expressed relative to the maximum.

348 nitrogen fertilizer, followed by POlsen, phosphorus fertilizer, and rain.

349 **3.4.1. Predicted maize yield**

350 The RFR model was used to explain yield variability in the on-farm and on-station trials. Of
 351 all data points, 70% were used to train the RFR model and 30% were used to test and validate
 352 the model. Table 9 shows that RFR explained more yield variability of the on-station trials
 353 than the on-farm trials.

354 Table 9: Summary of the random forest regression adj. R²

| | on-station data | | | on-farm data | | |
|-----|------------------|----------------|-----|------------------|-----------------|-----|
| | train (n=178) | test (n=72) | p | train (n=513) | test (n=213) | p |
| RFR | 78% | 81% | *** | 65% | 66% | *** |

355 *** indicates significance at 1%.

356 The adj. R² were 78% and 82% for the on-station trial training and testing data points and 65%

Predicted vs. observed yield, data used are those used to train (70% of all dataset) the RFR model

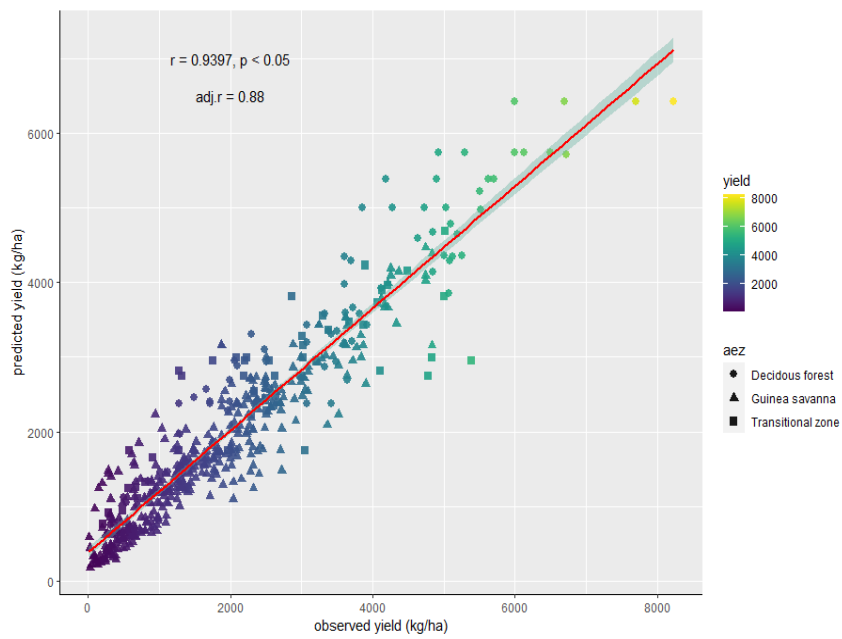


Figure 5: Relationship between observed yield (data used to train the model, representing 70% of total data) and maize grain yield predicted by the RFR model.

357 and 66% for on-farm trial training and testing data points, respectively. The observed trial

358 data points and their associated predicted data were highly correlated ($p < 0.001$) (Figure 5 and
 359 Figure 6). In addition, the correlations between observed and predicted yields were high,

Predicted vs. observed yield, data used are those used to test (30% of all dataset) the RFR model

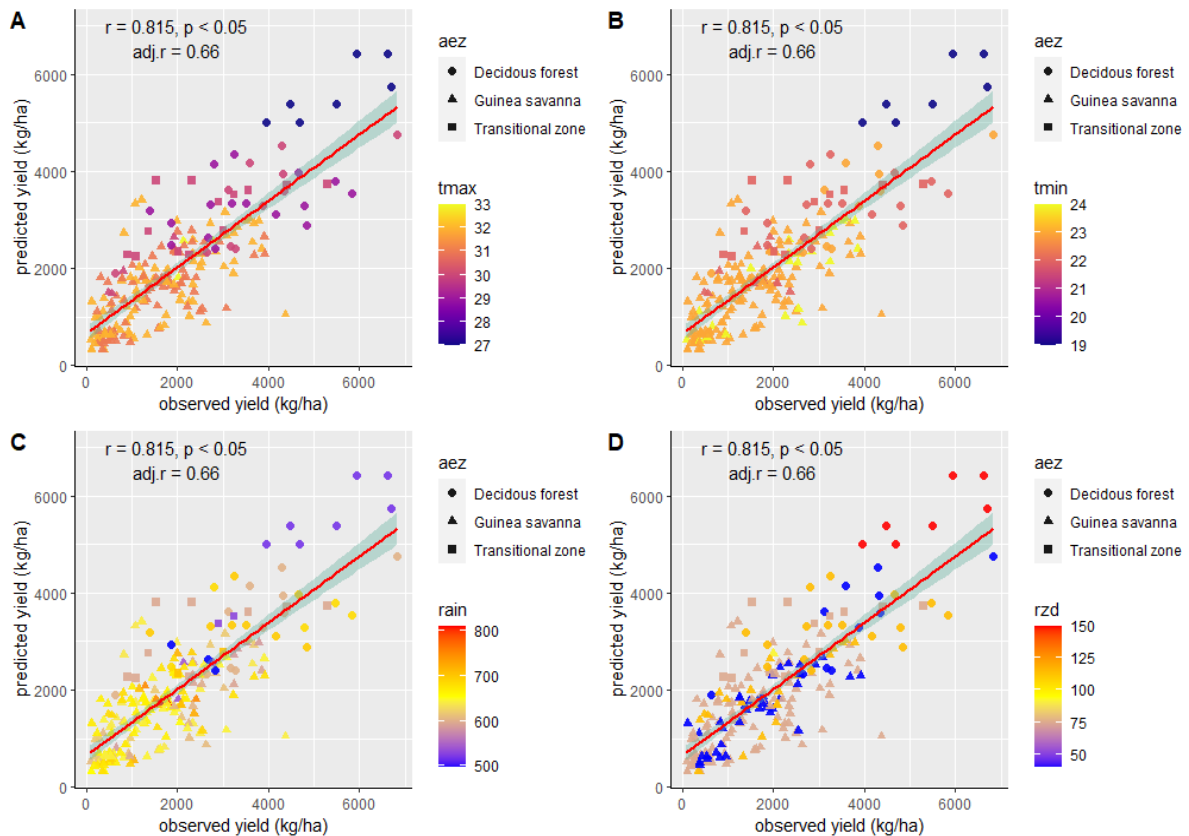


Figure 6: Relationship between observed yield (data for testing the model, representing 30% of the total data) and grain yield of maize predicted by the RFR model as a function of agroecological zone (Semi-Deciduous Forest, Guinea Savanna, and Transitional), (A) tmax, (B) tmin, (C) rainfall, and (D) rzd.

360 when the same training data set (70%) was used to test the RFR model; this showed a low
 361 yield gap between the observed yield ($8,230 \text{ kg ha}^{-1}$) of the Obatanpa variety and its predicted
 362 value ($6,424 \text{ kg ha}^{-1}$). Figure 6 reveals the strong positive correlation between observed and
 363 predicted yields in the on-farm trials and highlights the negative effects of temperature
 364 (Figure 6A and 6B) and rainfall (Figure 6C) on maize yield as these variables increase and the
 365 positive effect of root zone depth (Figure 6D) on yield as this increases. On the other hand,
 366 temperature and rainfall were found to have a more negative effect on maize yield in the
 367 Guinea Savanna than in the Transitional and Semi-Deciduous Forest AEZs. The root zone
 368 depth appeared to be shallow ($< 50 \text{ cm}$) in several data points from the Semi-Deciduous Forest
 369 AEZ but presented a yield up to $4,000 \text{ kg ha}^{-1}$. In addition, in the data points where root zone
 370 depth was above 125 cm , the yields were above $5,000 \text{ kg ha}^{-1}$.

371 3.4.2. Nutrient use efficiency among Agroecological zones

372 Partial factor productivity (PFP) and agronomic efficiency (AE) were calculated for each of
373 the individual yield response data points from the fertilizer trials. Figure 7 shows the linear
374 regression between fertilizer rate (nitrogen, phosphorus, and potassium) applied to on-farm
375 and on-station trials data points with PFP (Figure 7A, 7B, and 7C) and the AE (Figure 7D, 7E,
376 and 7F) across the three AEZs. Overall, the relationships between PFP, AE, and applied
377 fertilizer rates were negatively correlated. Several negative values of PFP and AE were also
378 calculated at several individual yield response data points in the fertilizer trials. Most of these

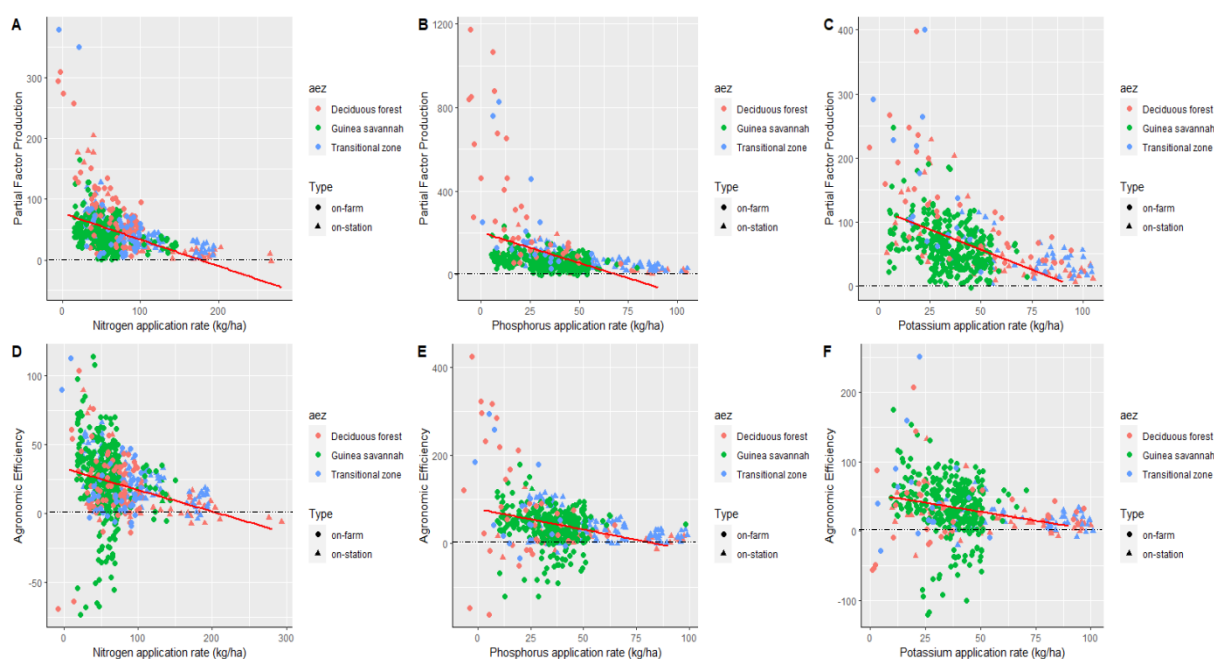


Figure 7: Relationship between nutrient use efficiencies (partial factor productivity and agronomic efficiency) of each data point of fertilizer yield response and fertilizer rate applied (kg ha^{-1}) as a function of agroecological zone (Semi-Deciduous Forest, Guinea Savanna, and Transitional) of on-farm and of on-station trials. The solid blue line represents the linear regression line.

379 negative PFP and AE values were from the on-farm trial data points in the Guinea Savanna.
380 Most of these negative AE values were also observed when the fertilizer rate (N, P, and K)
381 was between 20 kg ha^{-1} and 50 kg ha^{-1} . The highest values ($342\text{-}379 \text{ kg kg}^{-1}$) of PFP when
382 nitrogen fertilizers are applied were observed in the on-farm trials located in the Transitional
383 zone with a fertilizer application of 7 kg ha^{-1} . The on-farm trials located in the Semi-Deciduous
384 Forest registered the second-highest values ($202\text{-}310 \text{ kg kg}^{-1}$). The AEs calculated at the
385 locations in which nitrogen fertilizer was applied showed the highest values (120 kg kg^{-1}) in
386 the on-station trials located in the Transitional zone, followed by the Semi-Deciduous Forest

387 (311 kg kg⁻¹) and the Guinea Savanna (124-161 kg kg⁻¹). When phosphorus fertilizers were
388 applied, the highest PFP and AE values were from on-farm trials located in the Semi-
389 Deciduous Forest, and the lowest AE values were from on-farm trials and were in the Semi-
390 Deciduous Forest. When potassium fertilizers were applied, the highest PFP and AE values
391 were from on-farm trials located in the Transitional zone, and the lowest PFP and AE values
392 were from on-farm trials in the Guinea Savanna.

393 Table 10 shows the average rate of fertilizer use, PFP, and AE by AEZ. The average rate of
394 fertilizer use was estimated only for trials in which fertilizer was used in each AEZ. On-farm,
395 the ANOVA test showed that there was no significant difference in the rate of NPK used
396 among the three AEZs. On-station, there was no significant difference in PFP among the three
397 AEZs, and the AE of nitrogen and phosphorus was significantly different among the three
398 AEZs. However, on-farm, the ANOVA revealed a significant difference in PFP between the
399 three AEZs, and the AE was significantly different between the three AEZs only for
400 phosphorus.

Table 10: Summary of average yield, average fertilizer use rate, and nutrient use efficiency in three agroecological zones

| | On-station | | | | | | | | On-farm | | | | | | | | |
|---------------------------------------|--------------------------|---------|-----------------------|---------|-------------------------|---------|-----------------|-------|--------------------------|---------|-----------------------|---------|-------------------------|---------|-------------|------------|---------|
| | Transitiona l zone | Nb o | Guinea savann a | Nb o | Deciduou s forest | Nb o | F- valu e | p | Transitiona l zone | Nb o | Guinea savann a | Nb o | Deciduou s forest | Nb o | F- value | p | |
| Fertilizer Use (kg ha ⁻¹) | | | | | | | | | | | | | | | | | |
| (ā) | NPK | 255 | 32 | 0 | 0 | 206 | 25 | 4.218 | * | 118 | 16 | 123 | 306 | 125 | 36 | 0.19 | |
| | N (ā) | 92 | 126 | 90 | 6 | 111 | 61 | 2.727 | . | 70 | 46 | 56 | 320 | 65 | 84 | 11.42 | ** * |
| | P (ā) | 53 | 118 | 33 | 6 | 56 | 37 | 3.118 | * | 21 | 16 | 35 | 307 | 21 | 36 | 3.12 | * |
| | K (ā) | 73 | 48 | 0 | 0 | 53 | 61 | 17.54 | ** * | 25 | 16 | 35 | 307 | 43 | 36 | 17.54 | ** * |
| PFP (kg kg ⁻¹) | | | | | | | | | | | | | | | | | |
| (ā) | NPK | 14 | 32 | 0 | 0 | 20 | 24 | 2.663 | | 36 | 16 | 18 | 306 | 38 | 36 | 38.57 | ** * |
| | N (ā) | 45 | 126 | 29 | 6 | 34 | 60 | 2.762 | . | 56 | 46 | 40 | 320 | 78 | 84 | 32.56 | ** * |
| | P (ā) | 69 | 118 | 71 | 6 | 60 | 36 | 0.874 | | 233 | 16 | 62 | 307 | 331 | 36 | 101.5 0 | ** * |
| | K (ā) | 46 | 48 | 0 | 0 | 58 | 61 | 2.713 | | 150 | 16 | 62 | 307 | 119 | 36 | 48.71 | ** * |
| AE (kg kg ⁻¹) | | | | | | | | | | | | | | | | | |
| (ā) | NPK | 6 | 32 | 0 | 0 | 9 | 25 | 1.231 | | 13 | 16 | 10 | 306 | 9 | 36 | 0.43 | |
| | N (ā) | 25 | 126 | 26 | 6 | 13 | 61 | 12.37 | ** * | 18 | 46 | 23 | 320 | 20 | 84 | 1.19 | |

| | | | | | | | | | | | | | | | | |
|-----------------|----|-----|----|---|----|----|-------|---------|----|----|----|-----|----|----|-------|---------|
| P (\bar{a}) | 39 | 118 | 61 | 6 | 21 | 37 | 7.435 | ** * | 81 | 16 | 36 | 307 | 87 | 36 | 15.81 | ** * |
| K (\bar{a}) | 18 | 48 | 0 | 0 | 22 | 61 | 0.388 | | 56 | 16 | 36 | 307 | 30 | 36 | 2.09 | |

402 Nb°: number of observations. (\bar{a}): average. PFP: partial factor productivity. AE: agronomic efficiency, p: P-value. ***, and * indicate significance at 0.1% and 5%; a blank cell indicates no significance.

403

404 4. DISCUSSION

405 4.1. Grain yield

406 The difference in average yield observed in on-station and on-farm trials could be attributed
407 to various biotic and abiotic constraints ([Mugwe et al., 2009](#); [Onduru and Du Preez, 2007](#)). On-
408 farm trials reached the highest yields of 6,000 kg ha⁻¹, or even 8,000 kg ha⁻¹ in 2001, which
409 could be explained by the low minimum and maximum temperatures (19°-28° C) at the trial
410 locations, sufficient precipitation during the crop growth period (540 mm), the high
411 topographic altitude (390 m), and the deep root zone of 150 cm for storing the rainwater.
412 However, several studies on plant breeding have shown that the agronomic potential of
413 certain varieties, such as Obatanpa and quality protein maize (QPM), is between 4,000 and
414 5,000 kg ha⁻¹ ([Adu et al., 2014](#); [Sallah et al., 2007](#); [USAID/IFDC, 2015](#)). The potential yields
415 attributed to these varieties are yield averages from several research station trials ([Adu et al.,](#)
416 [2014](#); [Sallah et al., 2007](#)). Therefore, yields above these averages could be obtained in on-farm
417 or on-station trials under optimal agronomic practices and climatic conditions. In addition,
418 [Adu-Gyamfi et al., \(2019\)](#) reported yields of 6,500 kg ha⁻¹ with Obatanpa, well above its
419 potential yield, in the Guinea Savanna AEZ. Therefore, the high yields were not discarded
420 from our analyses.

421 4.2. Effect of soil chemical properties on maize yield

422 4.2.1. QUEFTS model

423 The QUEFTS model weakly explained the observed variability in maize yields in on-farm and
424 on-station trials in some locations and moderately explained high yield variability in other
425 locations (Figure 3; Table 4). The low explanatory power of the QUEFTS model in some control
426 data point trials (Figure 3A and 3D) suggests that factors other than the soil chemical
427 properties considered in QUEFTS (pH, Corg, Ntot, Olsen, Pt, Ex.K) contribute significantly to
428 the variability of maize yield ([Onduru and Du Preez, 2007](#)). In addition, when fertilizer was
429 considered in the factors to explain maize variability, the low adj. R² of the linear regression
430 (Figure 3B and 3E) shows that soil chemical properties and fertilizer are not the only factors
431 that contribute most to the variability of maize yield. Thus, the QUEFTS model is unable to
432 improve the understanding of native soil nutrient supply, nutrient requirements, nutrient
433 recovery efficiency, and subsequent fertilization recommendations for maize for the wide

434 range of soil, climate, and management conditions in Ghanaian AEZs, as also reported by
435 [Debtanu et al., \(2006\)](#). Previous studies in Kenya and Benin have shown that the QUEFTS
436 model alone was not sufficient to explain actual yields and that soil fertility was therefore not
437 the only factor limiting crop production ([Mulder, 2000](#); [Onduru and Du Preez, 2007](#)).
438 However, the high variability (adj. $R^2 > 30\%$) captured by QUEFTS when the linear regression
439 between Y_q and Y_o is done as a function of year (Table 4) highlights that the variability of
440 maize yield is not only spatial but also temporal. The strong spatio-temporal dynamics of soil
441 chemical properties plays an important role in explaining the variability of maize yield by the
442 QUEFTS model, which is based partly on empirical evidence and partly on theoretical
443 relationships ([Janssen et al., 1990](#)). These models usually are site-specific, according to
444 [Smaling and Janssen, \(1993\)](#). For example, high coefficients of variation ($CV > 30\%$, Table 2) of
445 soil chemical properties (Corg, Ntot, Olsen, Pt, Ex.K) over time and space prevented QUEFTS
446 from accurately capturing all the variability. In addition, climatic factors, and soil physical
447 properties, such as temperature and soil depth, which are not considered as input variables
448 for the model, also contribute to the weak explanation of yield variabilities.

449 **4.2.2. Linear regression Models**

450 Contrary to the QUEFTS, the linear regression models revealed the importance of soil
451 chemical properties in maize production for on-station trials, as corroborated by [Braumoh and](#)
452 [Vlek, \(2006\)](#). The portion of yield variability explained by soil chemical properties was about
453 36%. Indeed, according to [Braumoh and Vlek, \(2006\)](#), maize yields will be less than 520 kg ha⁻¹
454 under repeated cropping on soils with poor chemical qualities. [Yeboah et al., \(2016\)](#) also
455 showed that improving soil chemical properties, notably N, P, K, CEC, and pH, had a
456 pronounced effect on maize grain yields. Therefore, knowledge about the condition of the
457 land and its evolution over time is necessary to promote land management practices to
458 maintain or improve productivity and sustainable use of natural resources ([Bindraban et al.,](#)
459 [2000](#)).

460 In on-farm trials, soil chemical properties explained 19% of yield variability, while QUEFTS
461 explained 10%. This means that soil chemical properties also played an important role in
462 maize production in on-farm trials, but with other factors having a larger impact on yield
463 compared to on-station trials.

464 For on-farm and on-station trials, MLR and SMLR, run with the same soil chemistry and
465 fertilizer as used in QUEFTS, explained significantly more variability in yield response, i.e.,
466 37-57% (adj. $R^2_{\text{model 17}}$) vs. 4-22% (adj. R^2_{QUEFTS}). A model such as QUEFTS has response curves
467 and internal interactions ([Janssen and Guiking, 1990](#); [Janssen et al., 1990](#)), whereas an
468 empirical model (MLR, SMLR) directly explains and predicts yield without many internal
469 processes. For these reasons, the response rates explained with the empirical models are
470 higher than the rates with the QUEFTS model ([Bonilla-Cedrez et al., 2021](#)).

471 **4.3. Effect of soil physical properties on maize yield**

472 Soil physical properties explained 32% of the yield variability in on-station trials. Indeed,
473 modifying soil structure, such as through ridging, can affect aeration, compaction, water
474 movement, soil temperature, resistance to erosion, and plant root growth, according to
475 [Tueche, \(2014\)](#). These agronomic practices directly or indirectly affect the root zone depth and
476 root zone water-holding capacity, variables that were considered in our analysis.

477 In on-farm trials, soil physical properties explained 25% of yield variability. This finding
478 reveals that soil physical properties played a major role in the observed low yield. In addition
479 to the importance of soil physical factors revealed by MLR in on-station and on-farm trials
480 (Table 6 and Table 8), the SMLR and RFR further explore the fact that soil physical factors,
481 such as the percentage of silt and sand in the soil as well as the water-holding capacity of the
482 soil as a function of soil depth, played key roles in determining current maize yield (Figure 4).
483 The soil silt and clay content played a key role in the variation in fertilizer effects on maize
484 grain productivity ([Roobroeck et al., 2021](#); [Sileshi et al., 2010](#); [Sileshi et al., 2022](#)). [Mobilian and](#)
485 [Craft, \(2021\)](#) reported that soil texture affects other soil properties, such as bulk density, water-
486 holding capacity, permeability, and porosity. For example, soils that primarily consist of sand
487 particles have high permeability and low water-holding capacity compared to soils with
488 higher silt and clay content. The combined effect of a soil's physical and chemical properties
489 is very important. It explained 30% and 45% of yield variability in on-farm and on-station
490 trials, respectively. Improving soil physical and chemical properties increased maize yield by
491 6.7% in sandy loam soil in the Brong-Ahafo region ([Akolgo et al., 2020](#)). Moreover, according
492 to [Hamarashid et al., \(2010\)](#), soil texture and chemical soil components had a marked influence
493 on the structure and activity of the microbial population and mineralization of carbon.

494 **4.4. Effect of climatic factors on maize yield**

495 In on-station trials, the low adj. R^2 (1%; $p>0.05$) suggests that there was no significant effect of
496 environmental factors on maize yield. However, in on-farm trials, environmental covariates
497 had a significant effect on maize yield. They even influenced maize yield variability more than
498 soil physical and chemical properties. Indeed, the adj. R^2 of MLR (Table 8) for environmental
499 factors is 33%, compared to 19% and 25% for those of physical and chemical soil properties,
500 respectively. According to [Acquah and Kyei, \(2012\)](#) and [Cudjoe et al., \(2021\)](#), maize yield
501 increases with increasing rainfall in appropriate amounts and distribution, and it decreases
502 with increasing temperature. But the findings in this study revealed a negative correlation
503 between rainfall and maize yields (Figure 6C). This negative trend could be explained by the
504 low rooting depth (Figure 6D) of the soils and, therefore, the low soil storage capacity for
505 rainwater. Figure 6C shows that the rainfall in the northern and upper west region during the
506 growing season was high; however, Figure 6D demonstrates that the root zone depth in these
507 regions is shallow.

508 **4.5. Effect of altitude on maize yield**

509 Elevation contributed 12% to the explanation of yield variability in the on-farm trials,
510 compared to only 5% in on-station trials. Indeed, one of the on-farm trials was at a higher
511 altitude (with the high yields), which gave a higher range in altitudes, thus resulting in the
512 12% explanation; however, the on-station trials did not have such a high range in elevations,
513 so the explained portion is much less at 5%.

514 **4.6. Effect of variety on maize yield**

515 In on-station trials, very little overall effect (adj. $R^2=1\%$) of variety on yield was observed
516 (Table 5, Table 6 and Figure 4) because the number of varieties used (3) was small. However,
517 in on-farm trials, in which many more varieties (17) were used, the combined effect of all
518 varieties of maize explained up to 16% of the yield variability. Moreover, the RFR model
519 ranked it as significant in predicting maize yield. The combined effect of maize variety and
520 fertilizer explained much of the yield variability (43%;Table 8), comparable to the combined
521 effect of maize variety and climatic factors (42%). These results show that the climatic
522 conditions and the amount of fertilizer applied contribute significantly to the expression of
523 the genetic potential of the maize varieties ([Kpotor et al., 2014](#)). [Bawa and Tang, \(2021\)](#)

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524 evaluated the response of maize varieties to different nitrogen fertilizer rates and found that
525 the varieties responded differently to the applied nitrogen rate. The use of different maize
526 varieties (QPM, hybrid, inbred, or local), with different genetic potential, induces a variable
527 yield response depending on the climatic zone and whether the fertilizer is applied, at a
528 blanket rate or a rate specific to the dynamic state of the soil. Compared to Obatanpa, some
529 varieties contributed to an average yield increase of 54%, while other varieties contributed to
530 an average yield decrease of 51% (Table 7). According to [Kpotor et al., \(2014\)](#), genotypes were
531 significantly different for grain yield because they were developed from different parental
532 lines, belong to different maturity groups, are season-specific, or were developed individually
533 to meet specific breeding objectives.

534 **4.7. Effect of fertilizer on maize yield**

535 The increased adj. R^2 values at 37%, 42%, and 47% in MLR models (Table 8), when fertilizer is
536 combined with soil chemical properties, soil physical properties, and climatic variables,
537 respectively, reveal that fertilizer application has a significant effect on explaining maize
538 yields in Ghana, as the three covariates used alone in MLRs explain 19%, 25%, and 12%,
539 respectively. The soil physical properties combined with fertilizer revealed a significant maize
540 yield variability explanation (adj. $R^2=42\%$) and showed the crucial role of soil texture and
541 structure in maize yield augmentation. This result is corroborated by [Martins et al., \(2018\)](#) and
542 [Zheng et al., \(2003\)](#), who stressed the importance of considering soil texture in applying
543 commercial fertilizers. Hence, the effect of fertilizers on grain yield can be improved by
544 altering soil physical properties, since physical soil properties and fertilizer together explained
545 more of the yield response than each did separately.

546 In on-station and on-farm trials, the comparison of the AIC+f vs. AIC-f and RF+f vs. RFR-f
547 models evidenced the strong positive effect of fertilizer in explaining maize yield variability.
548 Indeed, without fertilizer (AIC-f), the best model resulting from the SMLR explained 46% and
549 44% of the maize yield variability in the on-farm and on-station trials, respectively, while the
550 inclusion of fertilizer in the AIC+f model explained 62% and 68%, respectively, with nitrogen
551 and potassium being the most and least important components in the yield responses,
552 respectively. Several studies have shown that the application of organic and/or inorganic

553 fertilizer improves the yields of crops, such as maize, in Ghana ([Adjei-Nsiah et al., 2007](#);
554 [Boateng et al., 2006](#); [Bua et al., 2020](#); [MacCarthy et al., 2012](#)).

555 By considering all covariates in the MLR model, the adj. R² was 62% and 68% for the on-farm
556 and on-station trials, respectively, which shows that the variability of maize yield is the result
557 of the combined effect of several factors. Thus, improved crop yields are obtained when soil
558 fertility, whether chemical and/or physical, farm management, and climatic conditions
559 (rainfall, temperature, solar radiation) are adequate and managed synchronously
560 ([Ravensbergen et al., 2021](#); [Sadras and Calvino, 2001](#)).

561 The RFR model explains up to 60% of the maize yield variability for on-station trials and on-
562 farm trials. An evaluation of the capacity of SMLR and RFR to quantify yield variability
563 revealed they performed comparably well on-farm and on-station, which could be attributed
564 to the diversity of soil physical and chemical properties, climatic factors, and maize genotype.
565 The two models provided a more accurate method for explaining maize yield variability and
566 predicting yield than QUEFTS.

567 **4.8. Nutrient use efficiency**

568 The negative correlation between PFP, AE, and applied fertilizer rates across the AEZs means
569 that the higher the applied fertilizer rate, the less efficiently maize uses the applied fertilizer.
570 This is due to several factors. The shallow soil depth, combined with heavy rainfall, may cause
571 the applied fertilizer to run off and no longer be available for good plant growth (Figure 6C
572 and 6D). In addition, the negative AE and PFP values from the on-farm trials were in the
573 Guinea Savanna AEZ of Ghana (Figure 7) with shallow soils (<75 cm). Providing more
574 nutrients will not make them available to the maize throughout its vegetative growth, so the
575 application of nitrogen, phosphorus, and potassium fertilizers at 20-50 kg ha⁻¹ does not give
576 as high an NUE. The highest PFP was obtained in the on-farm trials located in the Transitional
577 zone and the Semi-Deciduous Forest with a low fertilizer application rate of 4-8 kg ha⁻¹. In this
578 AEZ, the deeper soil depth, low temperature, and high rainfall contribute to the efficient use
579 of the nutrients present in the soil, but this also leads to soil nutrient depletion. However, the
580 lowest AE values of 4-8 kg kg⁻¹ from on-station trials located in the Semi-Deciduous Forest
581 zone with the highest rate of nitrogen fertilizer applied (281 kg ha⁻¹) showed that the deeper
582 soil and supply of more nutrients through the native soil fertility, consequently, may not give
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583 a high NUE. Similar AE and PFP can be attained at lower rates of fertilizer application, and
584 the trials on-station use too much fertilizer.

585 **5. CONCLUSION**

586 Increasing fertilizer application in Ghana is very important, but site-specific application to
587 maximize yield and minimize yield variability may be a better approach that will help to
588 motivate farmers in applying appropriate amounts of fertilizers. Soil fertility was not the only
589 determining factor for maize production in Ghana AEZs, as has been touted in arriving at
590 fertilizer recommendations. Other factors identified through the MLR, SMLR, and RFR
591 models are as important as soil nutrients and applied fertilizers. RFR and SMLR, through the
592 AIC algorithm considering maize variety, altitude, weather variables, soil physical and
593 chemical properties, and fertilizers, explained over 60% of the maize yield variability for both
594 the on-station and on-farm trials, suggesting that attention should also be given to factors such
595 as variety, temperature, and root zone depth. Therefore, fertilizer recommendations cannot
596 be arrived at based on soil chemical properties alone but should consider these additional
597 variables as well.

598 **6. DECLARATION OF COMPETING INTEREST**

599 The authors declare that they have no known competing financial interests or personal
600 relationships that could have appeared to influence the work reported in this paper.

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